PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/750,275 Application Number TRANSMITTAL December 30, 2003 Filing Date **FORM** Robert A. Luciano First Named Inventor (to be used for all correspondence after initial filing) 3713 Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 10407/989 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form □ Drawing(s) Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address **Terminal Disclaimer** Other Enclosure(s) Extension of Time Request (please identify below): Return Receipt Postcard Request for Refund Copy of Items Submitted with Express Abandonment Request CD, Number of CD(s) Patent Application filed on 12/30/2003 Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Andrew B. Chen Individual name Signature Date 10/05/2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Andrew B. Chen	•	-		
Signature	26		Date	10/05/2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Extension for reply within first month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Filing a brief in support of an appeal

Petition to institute a public use proceeding

SUBTOTAL (3)

Telephone

Date

Complete (if applicable)

310-712-8300

10/05/2004

(\$) 130

Notice of Appeal

Request for oral hearing

Extension for reply within second month

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PERE TRANSMITTAL				Complete if Known					
FEE TRANSMITTAL			Applic	Application Number		10/750,275			
for FY 2005  Effective 201/2004. Patent fees are subject to annual revision.  TOTAL AMOUNT OF PAYMENT (\$) 130		Filing	Filing Date		December 30, 2003				
		First I	First Named Inventor		Robert A. Luciano				
		Exam	Examiner Name						
Cant claims small entity status. See 37 CFR 1.27			Art Ur	Art Unit 3		3713	3713		
TOTAL AMOUNT OF PAYMENT (\$) 130			Attorn	ey Dock	et No.	10407/989			
MET	HOD OF PAYMENT (cl	neck all that apply)	ſ			FEI	E CALCULATION (continued)		
☐ Check ☐ C	Order	Other None		DITION Entity Fee	Small I		Fee Description Fee Paid		
Deposit Account Number	502811		Code 1051 1052	(\$) 130 50	2051 2052	(\$) 65 25	Surcharge - late filing fee or oath		
Deposit		1052	130	1053	130	Surcharge - late provisional filing fee or cover sheet.			
Account Name	Brown Raysman Millstein Felder & Steiner LLP		1812	2,520	1812	2,520	Non-English specification  For filing a request for ex parte reexamination		
The Director is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☒ Credit any overpayments ☒ Charge any additional fee(s) or any underpayment of fee(s) ☐ Charge fee(s) indicated below, except for the filing fee		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
to the above-identified deposit account.		1251	110	2254	55	Extension for reply within first month			

1251

1252

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1254

1255

1401

1402

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Fee Paid

**FEE CALCULATION** 

Fee Description

Utility filing fee

Design filing fee

Reissue filing fee

Plant filing fee

BASIC, FILING FEE

Fee

Code

2001

2002

2003

2004

Small Entity

Fee

(\$)

395

175

275

395

\*\*or number previously paid, if greater; For Reissues, see above

Andrew B. Chen

1.

Fee

Code

1001

1002

1003

1004

**Large Entity** 

Fee

(\$)

790

350

550

790

SUBMITTED BY

Name (Print/Type)

Signature

110

430

980

1.530

2.080

340

340

300

1,510

2251 55

2252 215

2253

2254

2255

2401

2402

2403

1451

48,508

490

765

1.040

170

170

150

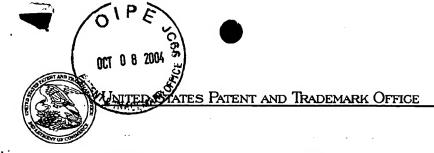
1,510

1005 2005 80 Provisional filling fee 1452 Petition to revive - unavoidable 160 2452 55 110 1453 2453 665 Petition to revive - unintentional 1,330 SUBTOTAL (1) (\$) 0 1501 1,370 2501 685 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1502 490 2502 245 Design issue fee Fee from 1503 660 2503 330 Plant issue fee below Fee Paid 1460 130 1460 130 Petitions to the Commissioner 130 Total Claims -20 0 0 1807 Processing fee under 37 CFR 1.17 (q) 50 1807 50 ndependent 0 0 -3 = X Claims 1806 180 1806 180 Submission of Information Disclosure Stmt Recording each patent assignment per Multiple 8021 40 8021 40 х 0 Dependent property (times number of properties) Filing a submission after final rejection 1809 790 2809 395 Large Entity Small Entity (37 CFR § 1.129(a)) Fee Code Fee Code Fee Description (\$) For each additional invention to be (\$) 1810 790 2810 395 1202 18 2202 9 Claims in excess of 20 examined (37 CFR § 1.129(b)) 1201 88 2201 44 Independent claims in excess of 3 Request for Continued Examination (RCE) 1801 790 2801 395 Multiple dependent claim, if not paid 1203 300 2203 150 \*\* Reissue independent daims over Request for expedited examination 1802 900 1802 900 1204 88 2204 44 original patent of a design application \*\* Reissue claims in excess of 20 and 1205 18 2205 9 over original patent Other fee (specify) \_ SUBTOTAL (2) (\$)0 \*Reduced by Basic Filing Fee Paid

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pather and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS DO DO: 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/750,275

12/30/2003

Robert A. Luciano

732.852

**CONFIRMATION NO. 9180** 

21707 IAN F. BURNS & ASSOCIATES 1575 DELUCCHI LANE, SUITE 222 FORMALITIES LETTER

\*OC000000013748764\*

Date Mailed: 09/08/2004

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

10/12/2004 MHEKONEN 00000051 10750275

**RENO, NV 89502** 

OL FC:1460.

130.00.00

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

• Page(s) 18 - 26 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the

references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes . Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

Replies should be mailed to: Mai

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY